PTO/SB/21 (04-04)

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/612,650 TRANSMITTAL Filing Date July 12, 2003 **FORM** First Named Inventor Sreenivasulu Megati (to be used for all correspondence after initial filing) Art Unit 1625 **Examiner Name** Zinna Northington Davis Total Number of Pages in This Submission WYTH0015-100 (AM100961) Attorney Docket Number ENCLOSURES (check all that apply) After Allowance Communication to Fee Transmittal Form ☐ Drawing(s) Technology Center (TC) Appeal Communication to Board of Fee Attached Licensing-related Papers Appeals and Interferences Petition Appeal Communication to TC Amendment / Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final Proprietary Information Provisional Application Power of Attorney, Revocation Status Letter Change of Correspondence Address Terminal Disclaimer Other Enclosure(s) Extension of Time Request (please identify below): Return card Request for Refund Express Abandonment Request CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Via Express Mail No. EV 513561590 US Response to Missing Parts/ Incomplete Application sent August 16, 2004 (J) EV513561590US Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Feng Shao Registration No. 54,733 Individual name Signature Date August 16, 2004 CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450. Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name Signature Date

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/17 (10-03) Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

Complete if Known					
Application Number	10/612,650				
Filing Date	July 2, 2003				
First Named Inventor	Sreenivasulu Megati				
Examiner Name	Zinna Northington Davis				
Art Unit	1625				
Attorney Docket No.	WYTH0015-100/139737 (AM100961)				

METHOD OF PAYMENT (check all that apply)			FEE CALCULATION (continued)							
☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None		3.	ADD	ITION	AL FE	ES				
Order Deposit Account:			rge Er	ntity	Small E	ntity				
Deposit		Fee Cod		ee \$)	Fee Code	Fee (\$)	Fee Description	Fee Paid		
Account 50-1275		105	1 1	30	2051	65	Surcharge - late filing fee or oath			
Number			2 5	0	2052	25	Surcharge - late provisional filing fee or cover sheet.			
Deposit Account Cozen O'Connor		105	3 1	30	1053	130	Non-English specification			
		181	2 2	,520	1812	2,520	For filing a request for reexamination			
Name The Director is authorized to: (check all that apply)			4 9	20*	1804	920*	Requesting publication of SIR prior to Examiner action			
☐ Charge fee(s) indicated below ☐ Credit any overpayments ☐ Charge any additional fee(s) during the pendency of this application			5 1.	,840*	1805	1,840*	Requesting publication of SIR after Examiner action			
Charge fee(s) indicated below, except for the filing fee			1 1	10	2251	55	Extension for reply within first month			
to the above-identified deposit account. FEE CALCULATION			2 4	20	2252	210	Extension for reply within second month			
1. BASIC FILING FEE			3 9	50	2253	475	Extension for reply within third month			
Large Entity	Small Entity	125	4 1,	,480	2254	740	Extension for reply within fourth month			
1	ee Fee <u>Fee Description</u> code (\$)	125	5 2,	,010	2255	1,005	Extension for reply within fifth month			
	001 385 Utility filing fee	140	1 3	30	2401	165	Notice of Appeal			
1002 340 2002 170 Design filing fee		140	2 3	30	2402	165	Filing a brief in support of an appeal			
1003 530 2003 265 Plant filing fee		140	3 2	90	2403	145	Request for oral hearing			
I -	004 385 Reissue filing fee	145	1 1,	,510	1451	1,510	Petition to institute a public use proceeding			
1005 160 2005 80 Provisional filling fee		145	2 1	10	2452	55	Petition to revive – unavoidable			
SUBTOTAL (1) (\$) 0			3 1,	,330	2453	665	Petition to revive - unintentional			
		150	1 1,	,330	2501	665	Utility issue fee (or reissue)			
2. EXTRA CLA	IM FEES FOR UTILITY AND REISSUE	150	2 4	80	2502	240	Design issue fee			
	Extra Fee from Fee Claims below Paid	150	3 6	40	2503	320	Plant issue fee			
Total Claims	-20 ** = 0 X = 0	146	0 1:	30	1460	130	Petitions to the Commissioner			
Independent		180	7 50	0	1807	50	Processing fee under 37 CFR 1.17 (q)			
Claims	-3** = 0 X = 0	180	6 18	80	1806	180	Submission of Information Disclosure Stmt			
Multiple Dependent Large Entity	X = 0	802	1 40	。	8021	40	Recording each patent assignment per property (times number of properties)			
Fee Fee	Foo Foo	180	9 7	70	2809	385	Filing a submission after final rejection	 		
Code (\$)	Code (\$) Fee Description						(37 CFR § 1.129(a))			
1202 18	2202 9 Claims in excess of 20	181	0 7	70	2810	385	For each additional invention to be			
1201 86	2201 43 Independent claims in excess of 3						examined (37 CFR § 1.129(b))			
1203 290	2203 145 Multiple dependent claim, if not paid	180	1 7	70	2801	385	Request for Continued Examination (RCE)			
1204 86	204 43 ** Reissue independent claims over original patent		2 9	00	1802	900	Request for expedited examination			
1205 18	1205 18 2205 9 ** Reissue claims in excess of 20 and of a design application of a design application									
SUBTOTAL (2) (\$) 0			Other fee (specify)							
**or number previously paid, if greater, For Reissues, see above				by Ba	sic Filing	Fee Pa	sid SUBTOTAL (3) (\$) 0			
ט וושוושט פוטיאטטאַן פוט, וו אופסופי, רטו תפוטטופט, ספי פוטיאט										

SUBMITTED BY Complete (if applicable)							
Name (Print/Type)	Feng Shao	Registration No. (Attorney/Agent)	54,733	Telephone	215 665 2000		
Signature	1	~~~		Date	August 16, 2004		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

8-18-04

AUG 1 6 2004 E

DOCKET NO.: WYTH0015-100 (AM100961)

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Examiner:

Sreenivasulu Megati, et al.

Zinna Northington Davis

Serial No.: 10/612,650

Group Art Unit: 1625

Filed: July 2, 2003

Confirmation No.: 5472

Date: August 16, 2004

For: PREPARATION OF 6-HYDROXYEQUILENINS

Mail Stop:

Express Mail No.: EV 513561590 US

Commissioner of Patents

P.O. Box 1450

Alexandria, VA 22313-1450

RESPONSE TO RESTRICTION REQUIREMENT

This paper is filed in response to the Office Action dated July 22, 2004, in connection with the above captioned patent application.

The Examiner has required restriction to one of the following Groups:

- I. Claims 1-11, drawn to a process for preparing a chemical compound;
- II. Claim 12, drawn to a process for preparing a chemical compound; and
- III. Claims 13-18, drawn to intermediate compounds.

Solely to satisfy 37 CFR 1.143, Applicants hereby provisionally elect, with traverse, Group I, Claims 1-11 as described above.

Nevertheless, Applicants respectfully traverse the restriction requirement for the reasons provided below.

There are two criteria for a proper restriction requirement: a) the inventions (e.g., proposed groupings) must be independent or distinct as claimed, and b) there must be a

DOCKET NO.: WYTH0015-100 (AM100961)

a . . M. .

PATENT

serious burden on the Office. If at least one of the two criteria is not present, restriction is improper.

With respect, the Office has not shown that the second requirement has been met in making the restriction.

1) Restriction between Groups I and II.

Claim 12, the only claim of Group II, corresponds to steps (f) and (g) of Claim 5, which is in Group I. In addition, the end product of Claim 12 is the same as that of Claim 5. Therefore, a search of the invention of Group I, which includes Claim 5, will inevitably require searching exactly the same art as the invention of Claim 12. Accordingly, there is no serious burden (if any at all) to examine Groups I and II together.

2) Restriction between Groups (I-II) and III.

Further, it is believed that the intermediate compounds in Group III are closely related to the end products of the processes of Groups I-II. Thus, the examination of Group I will therefore inevitably require searching the subject matter of Group III. Accordingly, it is believed that examining the subject matter of Groups I-III would not pose a serious burden. In addition, examining Groups I-III together will result in economies to the Patent Office as well as the Applicant.

Because there will not be a serious burden on the Office to examine the entirety of the application, Applicants respectfully request that the restriction requirement be reconsidered, and that Groups I, II and III be examined in the present application.

Respectfully submitted,

Date: August 16, 2004

Feng Shao

Registration No. 54,733

COZEN O'CONNOR

1900 Market Street Philadelphia, PA 19103 (215) 665-4737 (phone) (215) 701-2337 (fax)